

From: FIU Notifications <fiunotifications@fiumauritius.org>

Sent: Friday, November 20, 2020 1:46 PM

Subject: Notifications

Importance: High

Sensitivity: Confidential

Dear All,

The FIU, as the central agency in Mauritius responsible for receiving, requesting, analysing and disseminating disclosures of information, is intent on fostering a vibrant STR reporting culture. To that aim, we are pleased to communicate the following to Reporting Persons:

As you may be aware, as Reporting Persons, you have certain obligations set out under the Financial Intelligence and Anti Money Laundering Act (FIAMLA). One of the obligations relates to the filing of Suspicious Transaction Reports (STRs) to the FIU. In this vein, Section 14 of the FIAMLA stipulates that:

"Notwithstanding section 300 of the Criminal Code and any other enactment, every reporting person or auditor shall, as soon as he becomes aware of a suspicious transaction, make a report to FIU of such transaction not later than 5 working days after the suspicion arose"

You may further wish to note that as per section 15 of the FIAMLA, the report shall be in such manner as the FIU may approve and shall include:

- (a) the identification of the party or parties to the transaction;*
- (b) the amount of the transaction, the description of the nature of the transaction and all the circumstances giving rise to the suspicion;*
- (c) the business relationship of the suspect with the reporting person or auditor;*
- (d) where the suspect is an insider, any information as to whether the suspect is still affiliated with the reporting person or auditor;*
- (e) any voluntary statement as to the origin, source or destination of the proceeds;*
- (f) the impact of the suspicious activity on the financial soundness of the reporting institution or person; and*
- (g) the names of all the officers, employees or agents dealing with the transaction*

More so, under section 10(2)(c) of the FIAMLA, the FIU shall issue guidelines to auditors, reporting persons and internal controllers of credit unions as to the manner in which a report under section 14 shall be made.

In this context, the FIU has developed and published a series of documentation/ information/ video tutorials (altogether 'resources') that you may use to assist you in filing STRs. These resources are available to all Reporting Persons, on our goAML Web platform. The platform can be accessed by clicking the "goAML" logo which is found at the right hand side of the FIU's website www.fiumauritius.org or alternatively at <https://www.mrugaml.fiumauritius.org/>

A list of the resources available can be seen below.

Name of resource	Purpose	Location
------------------	---------	----------

Name of resource	Purpose	Location
Guidance Notes 3- Suspicious Transaction Report	Covers broad aspects relating to filing of STRs: <ul style="list-style-type: none"> • When, how, why, by whom STRs are to be filed; • Red flags and indicators across different sectors that may help Reporting Persons to identify suspicious transactions • The manner in which the STRs are to be filed 	goAML Web platform (PRD & TST) - after successful Login
goAML Web User Guide – Registration	Guides Reporting Persons on how to onboard goAML in order to file STRs and exchange information in a swift and secured manner with the FIU	goAML Web platform (PRD & TST) - before Login
XML Schema & Standard XML Reporting Instructions and Specifications Booklet.	Guides Reporting Persons to file batch STRs with voluminous transactions	goAML Web platform (PRD & TST) - after successful Login
goAML User Guide (STR Scenarios) 2019	Guides Reporting Persons on how to file STRs on a step by step basis	goAML Web platform (PRD & TST) - after successful Login
goAML Web User Guide	Guides Reporting Persons on the administration procedures related to their accounts	goAML Web platform (PRD & TST) - after successful Login
Video Tutorials on how to file STRs	Guide Reporting Persons on how to file STRs on a step by step basis with visual and audio aid	goAML Web platform (PRD & TST) - after successful Login
Video Tutorials on how to Register on goAML	Guide Reporting Persons on how to onboard goAML in order to file STRs on a step by step basis with visual and audio aid	goAML Web platform (PRD & TST) - before Login

You are kindly invited to make full use of the above resources in fulfilling your reporting obligations. In case you need further technical assistance on filing STRs, registering on the goAML platform, or administering your account on the goAML platform, please feel free to contact our goAML Help Desk by calling on the phone number 4541423 or sending an email at goamlhelpdesk@fiumauritius.org

We thank you for your usual cooperation.

Kindly note that this email address is not monitored; please do not reply directly to this email.

Mauritius-FIU

Regards

Address :

Financial Intelligence Unit

10th Floor, SICOM Tower

Wall Street

Ebène Cybercity

Ebène 72201

Republic of Mauritius

Tel (230) 454 1423

Fax (230) 466 2431

E-mail fiu@fiumauritius.org

Website www.fiumauritius.org



BEHIND A PAGE, A TREE

Please, consider your environmental responsibility before printing this e-mail.

Internet Email Confidentiality Footer

Privileged / confidential information may be contained in this message. If you are not the addressee indicated in this message (or responsible for delivery of the message to such person), you may not copy or deliver this message to anyone. In such case, you should destroy this message, and notify the sender immediately. If you or your employer does not consent to Internet email messages of this kind, please advise the sender immediately. Opinions, conclusions and other information expressed in this message are not given or endorsed, unless otherwise indicated by an authorised means independent of this message.